

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yamazaki, et al. Art Unit : 2775
Serial No.: 09/504,235 Examiner : Unknown
Filed : February 15, 2000
Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 1/11/01

Scott C. Harris
Reg. No. 32,030

PTO Customer No. 20985
Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

10068196.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL
I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

01/11/01
Date of Deposit

Kasey R. Cook
Signature

Kasey R. Cook

Typed or Printed Name of Person Signing
Certificate

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-008004	Application No. 09/504,235
		Applicant Shunpei Yamazaki, Yasuyuki Arai, and Satoshi Teramoto	
		Filing Date February 15, 2000	Group Art Unit 2775

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,346,850	Sep. 13, 1994	Kaschmitter et al			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977/008004/US2938D1D2	Application No. Unknown
	Applicant Shunpei Yamazaki, et al.		
	Filing Date Herewith / 2-15-00	Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,341,012	8/23/94	Misawa, et al.			
	AB	5,576,868	11/19/96	S. Togashi			
	AC	5,576,869	11/19/96	H. Yoshida			
	AD	5,701,167	12/23/97	S. Yamazaki			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977/008002/US2938D1	Application No. 09/013,960
	Applicant Shunpei Yamazaki, et al.		
	Filing Date January 27, 1998	Group Art Unit 2822	

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,643,526	2/17/87	Watanabe, et al.			
	AB	5,261,156	11/16/93	Mase, et al.			
	AC	5,339,180	8/16/94	T. Katoh			
	AD	5,376,561	12/27/94	Vu, et al.			
	AE	5,436,744	7/25/95	Arledge, et al.			
	AF	5,572,046	11/5/96	Y. Takemura			
	AG	5,596,023	1/21/97	Tsubota, et al.			
	AH	5,646,432	7/8/97	Iwaki, et al.			
	AI	5,654,811	8/5/97	Spitzer, et al.			
	AJ	5,672,518	9/30/97	Hayashi, et al.			
	AK	5,757,456	5/26/98	Yamazaki, et al.			
		5,759,878	6/2/98	Hayashi, et al.			
		5,766,977	6/16/98	S. Yamazaki			
		5,781,164	7/14/98	Jacobsen, et al.			
		5,786,242	7/28/98	Takemura, et al.			
		5,821,138	10/13/98	Yamazaki, et al.			
		5,834,327	11/10/98	Yamazaki, et al.			

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
	AR	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

PATENT

ATTORNEY DOCKET NO.: 07977-008004

The Patent and Trademark Office date stamp sets forth the date of receipt of:

Applicant or Patentee Yamazaki, et al.

No. (Application, Appeal, Interference, Patent, Reexam) 09/504,235

Filing or Issue Date February 15, 2000

Title: METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

- | | |
|---|--|
| <input type="checkbox"/> Transmittal Letter (2 Copies) | <input type="checkbox"/> With Pet. for Ext. |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Status Inquiry |
| <input type="checkbox"/> Amendment/Response _____ Pages | <input type="checkbox"/> Declaration |
| <input type="checkbox"/> Maintenance Fee | <input type="checkbox"/> Request Certificate of Correction |
| <input type="checkbox"/> Check \$ _____ | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Deposit Account Order Form (2 Copies) | <input type="checkbox"/> Appeal Brief (3 Copies) |
| <input type="checkbox"/> Issue Fee <input type="checkbox"/> Request Patent Copies | <input type="checkbox"/> Petition for Extension Time |
| <input checked="" type="checkbox"/> Information Disclosure Statement | |

☒ PTO 1449 Form- 1 Pages

☒ Prior Art References-Number of References 1

☐ Drawings _____ Sheets Formal _____ Sheets Informal _____ Sheets Amended

☐ Notice of Missing Parts

☐ Combined Declaration and Power of Attorney

☐ Small Entity Statement

☐ Other _____

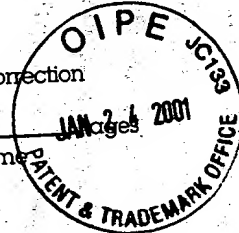
Atty./Sec.

Client/

Initials SEL

Matter Name _____

Date 01/11/01



BEST AVAILABLE COPY

RECEIVED
JAN 30 2001
FISH & RICHARDSON P.C.
LA JOLLA, CA